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**MEDICARE –  
COORDINATION  
OF BENEFITS**

# Section 111 COB Secure Web Site Monitor File Processing

Version 6.0, 3/31/2013  
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**Slide notes**

Welcome to the Section 111 Coordination of Benefits (COB) Secure Website Monitor File Processing course.

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## Disclaimer

While all information in this document is believed to be correct at the time of writing, this CBT is for educational purposes only and does not constitute official CMS instructions for the MMSEA Section 111 implementation. All affected entities are responsible for following the instructions found at the following link: <http://www.cms.gov/MandatoryInsRep>.

Slide notes

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## Course Overview

- File Listing Page
- File Detail Page
- MSP File Detail Page
- Non-MSP File Detail Page
- Claim Detail Page



### Slide notes

To assist with account management and data file processing, RREs have the ability to monitor test and production file submission processing and history.

This course will cover the following file processing pages that RREs will use while monitoring file processing.

RREs will access the File Listing page and File Detail page.

RREs for Group Health Plans (GHPs) will have access to the MSP and Non-MSP File Detail pages.

RREs for Non-Group Health Plans (NGHPs) will have access to the Claim File detail page.

NOTE: Liability insurance (including self-insurance), no-fault insurance and workers' compensation are sometimes collectively referred to as "non-group health plan" or "NGHP".

The term NGHP will be used in this CBT for ease of reference.

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## Test and Production File Submission

- RRE has ability to monitor test and production file submission processing and history
- Files received by application
  - File information saved and stored on database
  - Status code used to track file processing
- Account Managers and Account Designees may review this information

### Slide notes

An RRE has the ability to monitor test and production file submission processing and history.

As files are received by the application, file information is saved and stored on a database, and a status code is used to track the file as it processes through the system.

Account Managers and Account Designees may review this information to ascertain what processing has been performed. Refer to the Section 111 Reporting User Guides for more information on file processing results.

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## Monitor File Processing

- Enter Login ID and Password

**Slide notes**

To view production file submission processing and history information, Section 111 COBSW Account Managers and/or Account Designees associated with the RRE's account

will first enter their Login ID and Password to sign onto the Section 111 application on the COBSW at [www.Section111.cms.hhs.gov](http://www.Section111.cms.hhs.gov).

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The screenshot shows the CMS Section 111 Mandatory Reporting interface. At the top, there's a header with the CMS logo and the title 'Section 111 Mandatory Reporting'. Below this is a navigation bar with links: 'About This Site', 'CMS Links', 'How To...', 'Reference Materials', and 'Contact Us'. A secondary bar contains 'Home' and 'Log off'. The main content area is titled 'RRE Listing' and includes a 'QUICK HELP' section with links to 'Help About This Page' and 'Submission Periods'. A 'Print this page' button is also present. The text explains that the page lists RRE IDs and provides instructions on how to search and refresh the list. A search bar with a 'Search' button and a 'Refresh RRE Listing' button are provided. Below this, it states '4 items found, displaying all items:1'. A table lists four RRE IDs, each with columns for Name, Status, Submsn Period, Reporting Option, and Actions. The 'Actions' column contains a dropdown menu labeled 'Please Select' and a 'Go' button. At the bottom, there's a 'SECURE AREA' link and a footer with 'Privacy Policy | User Agreement'.

**Section 111 Mandatory Reporting**

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**RRE Listing**

This page lists all the Responsible Reporting Identification Numbers (RRE IDs) with which you are associated. You can select from the Actions available in the drop-down menu next to each RRE ID. Click on the down arrow, select an action from the list and then click on the Go button.

To search for a specific RRE enter the RRE ID and click on the Search button. To refresh the complete list of RREs with which you are associated, click on the Refresh RRE Listing button.

The RRE Listing is sorted by RRE ID.

RRE ID:

4 items found, displaying all items:1

RRE ID	Name	Status	Submsn Period	Reporting Option	Actions
1	COB TESTING TEAM	PROD	05	Basic	<input type="text" value="Please Select"/> <input type="button" value="Go"/>
2	COB TESTING TEAM	PROD	0	Basic	<input type="text" value="Please Select"/> <input type="button" value="Go"/>
3	COB TESTING TEAM C	PROD	09	Expanded	<input type="text" value="Please Select"/> <input type="button" value="Go"/>
5	COB TESTING TEAM E	PROD	05	Expanded	<input type="text" value="Please Select"/> <input type="button" value="Go"/>

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**QUICK HELP**

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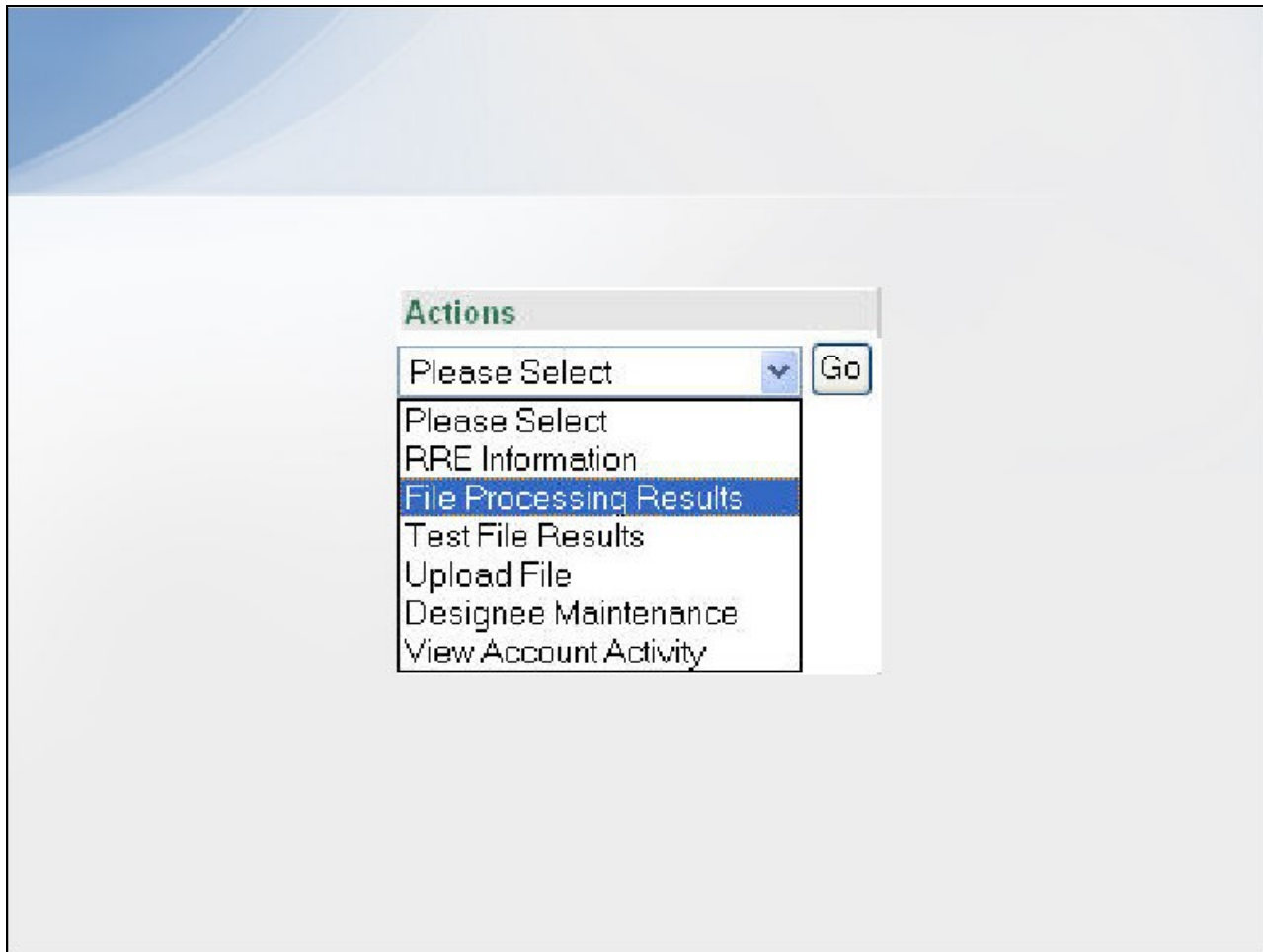
[Log off](#)

## Slide notes

After a successful login, the RRE Listing page will display. This page functions as the main processing screen or Home page to initiate any of the Section 111 COBSW processes.

It lists all of the RRE IDs to which your Login ID is associated. To view production file processing information, from the RRE Listing page, select the down arrow on the Actions drop-down box for the applicable RRE ID.

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**Slide notes**

Select "File Processing Results" and click Go.



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**CMS**  
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COBC

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File Listing

RRE ID: 30520  
RRE Name: ADVANCE AUTO SALES INC

Files submitted for this RRE ID are listed below.

After files have been processed by the COBC, they are separated into components depending on the File Type and record identifiers.

To see file processing details, click on the Record Type link associated with the file.

To download a response file via HTTPS, click on the response file name link that appears on the right.

Record Types:

GHP

- MS – MSP Input File
- NM – Non-MSP Input File
- QY – Query Only Input File

Liability/No-Fault/Workers' Compensation

- CM – Claim Input File
- QY – Query Input File

File ID	File Type	Receipt Dt	Process DT	Record Cnt	Status	Bene Match	Bene No Match
90930011	<a href="#">MS</a>	4/3/09	4/3/09	301	Deleted	49	252
Response DT:							
Response File:							
90930012	<a href="#">MS</a>	4/3/09	4/3/09	301	Complete	49	252
Response DT: 4/13/09							
Response File: <a href="#">TCOR.BA.MR.GHP.MSP.RESP.D200900320.T19320015.TXT &gt;&gt;</a>							
91950009	QY	7/14/09	7/14/09	25	Complete	15	10
Response DT: 7/20/09							
Response File: <a href="#">TCOR.BA.MR.GHP.QRY.RESP.D20090707.T19422152.TXT &gt;&gt;</a>							

[Return to RRE Listing](#)

## Slide notes

The File Listing page will display all submitted production files for the selected RRE ID and their corresponding response files after the Coordination of Benefits Contractor (COBC) has processed the production input files.

Files will be categorized by File ID (i.e. file processing number assigned by the COBC) and will be broken into sub-files by Record Type. The record type is the type of submitted file.

The applicable record types for GHP's are MS for MSP Input File, NM for Non-MSP Input File, QY for Query Only Input File and TN for TIN Reference File.


The applicable record types for Liability, No-Fault, and Workers' Compensation are: CM for Claim Input File, QY for Query Input File and TN for TIN Reference File.

Response files are sent to the Responsible Reporting Entity using the same transmission method that was selected for uploaded files.


Files transmitted using the HTTPS method will have response files available for download on the File Listing page.



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File Listing

RRE ID: 30520  
RRE Name: ADVANCE AUTO SALES INC

Files submitted for this RRE ID are listed below.

After files have been processed by the COBC, they are separated into components depending on the File Type and record identifiers.

To see file processing details, click on the Record Type link associated with the file.

To download a response file via HTTPS, click on the response file name link that appears on the right.

**Record Types:**


**GHP**

- MS – MSP Input File
- NM – Non-MSP Input File
- QY – Query Only Input File

**Liability/No-Fault/Workers' Compensation**

- CM – Claim Input File
- QY – Query Input File

QUICK HELP

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File ID	File Type	Receipt Dt	Process DT	Record Cnt	Status	Bene Match	Bene No Match
90930011	<a href="#">MS</a>	4/3/09	4/3/09	301	Deleted	49	252
Response DT: Response File:							
90930012	<a href="#">MS</a>	4/3/09	4/3/09	301	Complete	49	252
Response DT: 4/13/09 Response File: <a href="#">&gt;&gt;TCOR.BA.MR.GHP.MSP.RESP.D200903320.T19320015.TXT&gt;&gt;</a>							
91950009	QY	7/14/09	7/14/09	25	Complete	15	10
Response DT: 7/20/09 Response File: <a href="#">&gt;&gt;TCOR.BA.MR.GHP.QRY.RESP.D20090707.T19422152.TXT&gt;&gt;</a>							

Return to RRE Listing

## Slide notes


The File Listing page will also provide a count of the matched beneficiaries (i.e. the number of submitted records that were matched to a Medicare beneficiary) and the number of beneficiaries without a match (i.e. the number of records that were not matched to a Medicare beneficiary.)

These beneficiaries without a match will receive a '51' Disposition Code on the response file.


These are the only statistics you will see for the Query Files (i.e. Query Only and Query Input File).

To go back to the RRE Listing page hit the Return to RRE Listing button.

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## Section 111 Mandatory Reporting



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### RRE Listing

This page lists all the Responsible Reporting Identification Numbers (RRE IDs) with which you are associated. You can select from the Actions available in the drop-down menu next to each RRE ID. Click on the down arrow, select an action from the list and then click on the Go button.


To search for a specific RRE enter the RRE ID and click on the Search button. To refresh the complete list of RREs with which you are associated, click on the Refresh RRE Listing button.

The RRE Listing is sorted by RRE ID.

RRE ID:

4 items found, displaying all items.1


RRE ID	Name	Status	Submsn Period	Reporting Option	Actions
1	COB TESTING TEAM	PROD	05	Basic	<input type="text" value="Please Select"/> <input type="button" value="Go"/>
2	COB TESTING TEAM	PROD	0	Basic	<input type="text" value="Please Select"/> <input type="button" value="Go"/>
3	COB TESTING TEAM C	PROD	09	Expanded	<input type="text" value="Please Select"/> <input type="button" value="Go"/>
5	COB TESTING TEAM E	PROD	05	Expanded	<input type="text" value="Please Select"/> <input type="button" value="Go"/>

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Once you have returned to the RRE Listing Page, you can select another RRE ID or Log Off.

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### File Listing

RRE ID: 30520  
RRE Name: ADVANCE AUTO SALES INC

Files submitted for this RRE ID are listed below.

After files have been processed by the COBC, they are separated into components depending on the File Type and record identifiers.

To see file processing details, click on the Record Type link associated with the file.

To download a response file via HTTPS, click on the response file name link that appears on the right.

**Record Types:**

**GHP**

- MS – MSP Input File
- NM – Non-MSP Input File
- QY – Query Only Input File

**Liability/No-Fault/Workers' Compensation**

- CM – Claim Input File
- QY – Query Input File

File ID	File Type	Receipt Dt	Process DT	Record Cnt	Status	Bene Match	Bene No Match
90930011	<a href="#">MS</a>	4/3/09	4/3/09	301	Deleted	49	252
Response DT: Response File:							
90930012	<a href="#">MS</a>	4/3/09	4/3/09	301	Complete	49	252
Response DT: 4/13/09 Response File: <a href="#">TCOR.BA.MR.GHP.MSP.RESP.0200900320.T19320015.TXT &gt;&gt;</a>							
91950009	QY	7/14/09	7/14/09	25	Complete	15	10
Response DT: 7/20/09 Response File: <a href="#">TCOR.BA.MR.GHP.QRY.RESP.020090707.T19422152.TXT &gt;&gt;</a>							

[Return to RRE Listing](#)

**QUICK HELP**

[Help About This Page](#)

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## Slide notes

To view detailed information about a file, Section 111 COBSW users associated with the RRE's account must go to the File Detail page.

They will do this by clicking on the File Type link (pending ViPS response) next to the File ID for the file they wish to view.

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The screenshot shows the 'File Detail' page in the CMS Section 111 Mandatory Reporting system. The page has a green header with the CMS logo and 'Section 111 Mandatory Reporting' text. A navigation bar includes links for 'ABOUT THIS SITE', 'HOW TO...', 'REFERENCE MATERIALS', 'CMS LINKS', and 'CONTACT US'. The main content area displays file information and processing statistics. On the right, there are links for 'Print this page', 'RRE Listing', and 'File Listing'. At the bottom, there is a 'Continue' button and a 'SECURE AREA' label.

RRE ID:	631
File ID:	110553023
Sub File ID:	220511097
File Type:	MS

MSP Add Count:	1078
MSP Update Count:	0
MSP Delete Count:	0
Rx Add Count:	508
Rx Update Count:	331
Rx Delete Count:	0
MSP Split Count:	44
MSP Applied Count:	239
MSP Rejected Count:	187
Rx Applied Count:	
Rx Rejected Count:	65
MSP Late Count:	0
Error Count:	33

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## Slide notes

The File Detail page will then display. Only files in a Completed status will have file processing details available.

This page displays more detailed information about the file you selected; it lists statistics associated with the file.

The statistics will vary depending on the type of file submitted. No further processing details are available for Query or TIN Reference Files.

Results for Query File Processing will be displayed in the Bene Match and Bene No-Match fields on the File Listing page.

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## File Detail Page

RRE ID:	RRE ID the file was submitted under
File ID:	File processing number assigned by COBC
Sub File ID:	Sub file (component file) processing number assigned by COBC
Record Type	
<u>GHP</u> <ul style="list-style-type: none"> <li>• MS – MSP Input File</li> <li>• NM – Non-MSP Input File</li> <li>• QY – Query Only Input File</li> <li>• TN – TIN Reference File</li> </ul>	<u>Liability/No-Fault/Workers' Compensation</u> <ul style="list-style-type: none"> <li>• CM – Claim Input File</li> <li>• QY – Query Input File</li> <li>• TN – TIN Reference File</li> </ul>

**Note:** The information shown on the File Detail Page depends on the type of file selected. Different counts display for each Record Type.

### Slide notes

The File Detail page will also list the following: RRE ID which is the Responsible Reporting Entity ID the file was submitted under.

File ID, this is the File processing number assigned by the COBC. Have this ID on hand when contacting your Electronic Data Interchange (EDI) Representative with questions or issues concerning submitted files.

Sub File ID, the Sub file (component file) processing number assigned by the COBC. Have this ID on hand when contacting your EDI Representative with questions or issues concerning submitted files.

And, Record Type. The applicable record types for GHP's are MS for MSP Input File, NM for Non-MSP Input File, QY for Query Only Input File and TN for TIN Reference File.

The applicable record types for NGHP's are: CM for Claim Input File, QY for Query Input File and TN for TIN Reference File.

**Note:** The information shown on the File Detail page will depend on the type of file selected. Different counts display for each Record Type.

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**File Detail**

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RRE ID:	631
File ID:	110553023
Sub File ID:	220611097
File Type:	MS
MSP Add Count:	1078
MSP Update Count:	0
MSP Delete Count:	0
Rx Add Count:	508
Rx Update Count:	331
Rx Delete Count:	0
MSP Split Count:	44
MSP Applied Count:	239
MSP Rejected Count:	187
Rx Applied Count:	
Rx Rejected Count:	65
MSP Late Count:	0
Error Count:	33

[Continue](#)

SECURE AREA

## Slide notes

The MSP File Detail page lists detailed record information for the submitted MSP Input File. Take a moment to review the chart from the previous slide.

Additional information listed in this window include: MSP add, update and delete counts - these are the number of medical/hospital MSP add, update and delete records submitted;

Rx Add, update and, delete counts - these are the number of prescription drug MSP add, update and delete records submitted;

MSP Split count - or the Number of response file records with a 'Y' in the Split Entitlement Indicator;

MSP Applied Count - total number of add, update and delete medical/hospital coverage input records receiving an '01' disposition code;



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**File Detail**

RRE ID: 631  
 File ID: 110553023  
 Sub File ID: 220611097  
 File Type: MS

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[File Listing](#)

MSP Add Count:	1078
MSP Update Count:	0
MSP Delete Count:	0
Rx Add Count:	508
Rx Update Count:	331
Rx Delete Count:	0
MSP Split Count:	44
MSP Applied Count:	239
MSP Rejected Count:	187
Rx Applied Count:	
Rx Rejected Count:	65
MSP Late Count:	0
Error Count:	33

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## Slide notes

MSP Rejected Count - total number of add, update and delete medical/hospital coverage input records receiving a disposition code other than '01'.

Rx Applied Count - the total number of add, update and delete prescription drug coverage input records receiving an '01' Rx disposition code;


Rx Rejected Count - the total number of add, update and delete prescription drug coverage input records receiving an Rx disposition code other than '01';

MSP Late Count - the number of add records that were submitted late and were processed but flagged with a 'Y' in the Late Submission Indicator on the response file record;


(Note: If the individual is under the age of 45 as of the MSP Effective Date, the Late Submission Indicator will NOT be set to "Y"); and

Error Count - the number of records found to contain errors and returned on the response file with a 'SP' disposition code.

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## Section 111 Mandatory Reporting



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### File Listing

RRE ID: 30520  
RRE Name: ADVANCE AUTO SALES INC

Files submitted for this RRE ID are listed below.

After files have been processed by the COBC, they are separated into components depending on the File Type and record identifiers.

To see file processing details, click on the Record Type link associated with the file.

To download a response file via HTTPS, click on the response file name link that appears on the right.

**Record Types:**

**GHP**

- MS – MSP Input File
- NM – Non-MSP Input File
- QY – Query Only Input File

**Liability/No-Fault/Workers' Compensation**

- CM – Claim Input File
- QY – Query Input File

File ID	File Type	Receipt Dt	Process DT	Record Cnt	Status	Bene Match	Bene No Match
90930011	<a href="#">MS</a>	4/3/09	4/3/09	301	Deleted	49	252
Response DT: Response File:							
90930012	<a href="#">MS</a>	4/3/09	4/3/09	301	Complete	49	252
Response DT: 4/13/09 Response File: <a href="#">&gt;&gt;TCOB.BA.MR.GHP.MSP.RESP.D200900320.T19320015.TXT&gt;&gt;</a>							
91950009	<a href="#">QY</a>	7/14/09	7/14/09	25	Complete	15	10
Response DT: 7/20/09 Response File: <a href="#">&gt;&gt;TCOB.BA.MR.GHP.QRY.RESP.D20090707.T19422152.TXT&gt;&gt;</a>							

[Return to RRE Listing](#)

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## Slide notes

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File Detail

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RRE ID: 630

File ID: 110552923

Sub File ID: 220611098

Record Type: NM

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Query Count: 667

Rx Count: 231

Rx Applied Count: 0

Rx Rejected Count: 33

Continue

## Slide notes

The Non-MSP File Detail page lists detailed record information for a Non-MSP Input File submitted with 'N' and 'D' records. Additional information listed on this page is as follows:

Query Count - The number of 'N' query records submitted;

Rx Count - The number of 'D' supplemental drug records submitted;

Rx Applied Count - The Total number of 'D' records resulting in updates to the Medicare Beneficiary Database (MBD). Records that received an '01' disposition code on the corresponding response file record; and

Rx Rejected Count - The total number of 'D' records rejected with a disposition code other than '01'.

After reviewing file activity, click the File Listing link on the right side of the window to return to the File Listing page.

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File Detail

 [Print this page](#)

RRE ID: 630

File ID: 110552923

Sub File ID: 220611098

Record Type: NM

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Query Count: 667

Rx Count: 231

Rx Applied Count: 0



Rx Rejected Count: 33

Continue

## Slide notes

Or click the RRE Listing link to return to the RRE Listing page.

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### RRE Listing

This page lists all the Responsible Reporting Identification Numbers (RRE IDs) with which you are associated. You can select from the Actions available in the drop-down menu next to each RRE ID. Click on the down arrow, select an action from the list and then click on the Go button.


To search for a specific RRE enter the RRE ID and click on the Search button. To refresh the complete list of RREs with which you are associated, click on the Refresh RRE Listing button.

The RRE Listing is sorted by RRE ID.

RRE ID:

4 items found, displaying all items.1


RRE ID	Name	Status	Submsn Period	Reporting Option	Actions
1	COB TESTING TEAM	PROD	05	Basic	<input type="text" value="Please Select"/> <input type="button" value="Go"/>
2	COB TESTING TEAM	PROD	0	Basic	<input type="text" value="Please Select"/> <input type="button" value="Go"/>
3	COB TESTING TEAM C	PROD	09	Expanded	<input type="text" value="Please Select"/> <input type="button" value="Go"/>
5	COB TESTING TEAM E	PROD	05	Expanded	<input type="text" value="Please Select"/> <input type="button" value="Go"/>

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You have completed the Section 111 Monitor File Processing course. Information in this presentation can be referenced by using the Section 111 COBSW User Guide's table of contents which can be accessed after logging in to the Section 111 COBSW.

## Slide notes